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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                                    |
|------------------------|------------------------------------|
| Attorney Docket No.    | TSA 009                            |
| First Inventor         | Hatakeda                           |
| Title                  | NEWTON RING PREVENTION FILM AND... |
| Express Mail Label No. | EF042284250US                      |

10/08/2002 PTO

02/28/02

|  |  |  |
|--|--|--|
| APPLICATION ELEMENTS   |  | ADDRESS TO:  |
| See MPEP chapter 600 concerning utility patent application contents.   |  | Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 23]<br/>(preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 1 ]</p> <p>5. Oath or Declaration [ Total Pages 3 ]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br/>Copy from a prior application (37 CFR 1.63 (d))<br/>(for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s)<br/>named in the prior application, see 37 CFR<br/>1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>  |  |  |
| <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br/>Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of<br/>(when there is an assignee) <input type="checkbox"/> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure<br/>Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS<br/>Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</p> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122<br/>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br/>or its equivalent.</p> <p>17. <input type="checkbox"/> Other: .....</p> |  |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|  |  |
|--|--|
| <input type="checkbox"/> Customer Number or Bar Code Label<br>(Insert Customer No. or Attach bar code label here)  | or <input type="checkbox"/> Correspondence address below |
| <p>Name: Gary C. Cohn PLLC</p> <p>Address: 4010 Lake Washington Blvd., NE<br/>Suite 105</p> <p>City: Kirkland      State: WA      Zip Code: 98033</p> <p>Country: US      Telephone: (425) 576-1656      Fax: (425) 576-1756</p> |  |
| Name (Print/Type): Gary C. Cohn  | Registration No. (Attorney/Agent): 30,456                |
| Signature:    | Date: 2/28/02  |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 780.00)

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   |                    |
| Filing Date          |                    |
| First Named Inventor | Toshihiko Hatakeda |
| Examiner Name        |                    |
| Group Art Unit       |                    |
| Attorney Docket No.  | TSA 009            |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |  |
|------------------------|--|
| Deposit Account Number |  |
| Deposit Account Name   |  |

 Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

 Applicant claims small entity status.  
See 37 CFR 1.27
2.  Payment Enclosed:
 Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

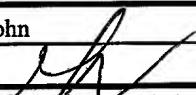
| Large Entity<br>Fee<br>Code (\$) | Small Entity<br>Fee<br>Code (\$) | Fee<br>Code (\$) | Fee<br>Code (\$) | Fee Description  | Fee Paid |
|----------------------------------|----------------------------------|------------------|------------------|--|----------|
| 105                              | 130                              | 205              | 65               | Surcharge - late filing fee or oath  |          |
| 127                              | 50                               | 227              | 25               | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                              | 130                              | 139              | 130              | Non-English specification  |          |
| 147                              | 2,520                            | 147              | 2,520            | For filing a request for ex parte reexamination                            |          |
| 112                              | 920*                             | 112              | 920*             | Requesting publication of SIR prior to Examiner action                     |          |
| 113                              | 1,840*                           | 113              | 1,840*           | Requesting publication of SIR after Examiner action                        |          |
| 115                              | 110                              | 215              | 55               | Extension for reply within first month                                     |          |
| 116                              | 400                              | 216              | 200              | Extension for reply within second month                                    |          |
| 117                              | 920                              | 217              | 460              | Extension for reply within third month                                     |          |
| 118                              | 1,440                            | 218              | 720              | Extension for reply within fourth month                                    |          |
| 128                              | 1,960                            | 228              | 980              | Extension for reply within fifth month                                     |          |
| 119                              | 320                              | 219              | 160              | Notice of Appeal   |          |
| 120                              | 320                              | 220              | 160              | Filing a brief in support of an appeal                                     |          |
| 121                              | 280                              | 221              | 140              | Request for oral hearing   |          |
| 138                              | 1,510                            | 138              | 1,510            | Petition to institute a public use proceeding                              |          |
| 140                              | 110                              | 240              | 55               | Petition to revive - unavoidable   |          |
| 141                              | 1,280                            | 241              | 640              | Petition to revive - unintentional   |          |
| 142                              | 1,280                            | 242              | 640              | Utility issue fee (or reissue)   |          |
| 143                              | 460                              | 243              | 230              | Design issue fee   |          |
| 144                              | 620                              | 244              | 310              | Plant issue fee  |          |
| 122                              | 130                              | 122              | 130              | Petitions to the Commissioner  |          |
| 123                              | 50                               | 123              | 50               | Processing fee under 37 CFR 1.17(q)  |          |
| 126                              | 180                              | 126              | 180              | Submission of Information Disclosure Stmt                                  |          |
| 581                              | 40                               | 581              | 40               | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                              | 740                              | 246              | 370              | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                              | 740                              | 249              | 370              | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                              | 740                              | 279              | 370              | Request for Continued Examination (RCE)                                    |          |
| 169                              | 900                              | 169              | 900              | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 40.00)**

## SUBMITTED BY

Complete if applicable

|                   |   |                                      |        |           |                |
|-------------------|---|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Gary C. Cohn  | Registration No.<br>(Attorney/Agent) | 30,456 | Telephone | (425) 576-1656 |
| Signature         |  |                                      |        | Date      | 2/28/02        |

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